

Commissioning Diabetes and Kidney Care Services



Supporting, Improving, Caring

NHS Diabetes information Reader Box	
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Commissioning Diabetes and Kidney Care

NHS Diabetes and NHS Kidney Care would like to thank the following for their advice and contribution to the development of this commissioning guide:

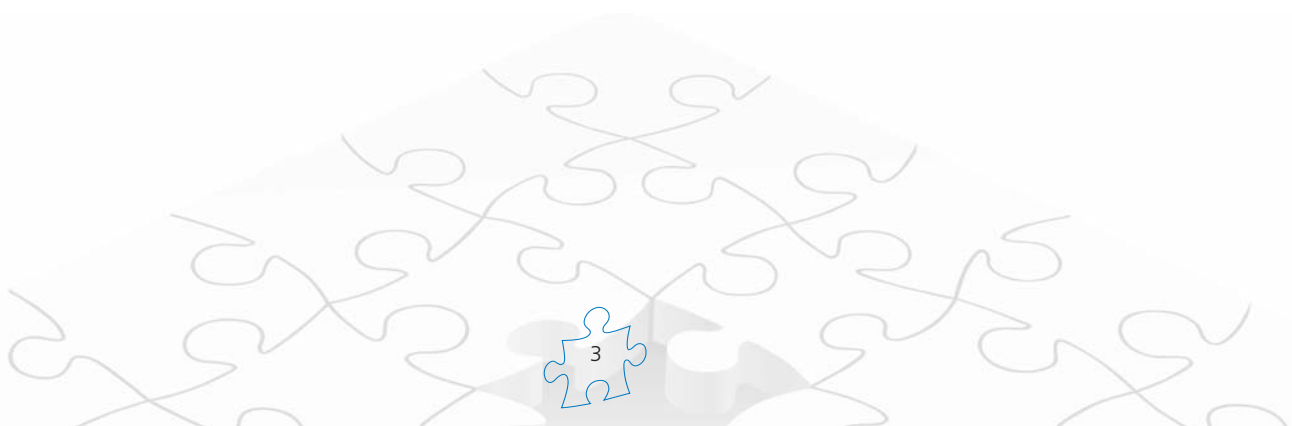
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And to Thoreya Swage who wrote this publication.

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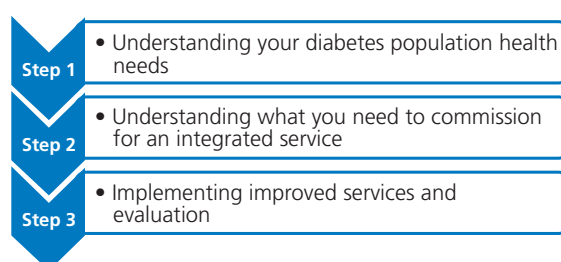


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Commissioning for Diabetes and Kidney Care

The NHS Diabetes commissioning approach helps to deliver high quality integrated care through a three-step process that ensures key elements needed to build an excellent diabetes service are in place. The approach is supported by a wide range of proven tools, resources and examples of shared learning.



Step 1 – involves understanding the local diabetes population health needs by developing a local Health Needs Assessment and setting up a steering group with key stakeholder involvement including a lead clinician, lead commissioner, lead diabetes nurse and lead service user

Step 2 – involves the development of a service specification to describe the model of care to be commissioned. This becomes the document on which tenders may be issued.

Step 3 – involves monitoring the delivery of the service specification by the provider and evaluating the performance of the service. Input from the steering group with service user representation will be an important mechanism for monitoring the service as well as patient surveys.

This commissioning guide has been developed by NHS Diabetes and NHS Kidney Care with key stakeholders including clinical and social services professionals and patient groups represented by Diabetes UK.

It is not designed to replace the Standard NHS Contracts as many of the legal and contractual requirements have already been identified in this set of documents. Rather, it is intended to form the basis of a discussion or development of diabetes and kidney care services between commissioners and providers from which a contract for services can then be agreed.

This commissioning guide consists of:

- A description of the key features of good diabetes and kidney care
- A high level intervention map. This intervention map describes the key high level actions or interventions (both clinical and administrative) diabetes and kidney care services should undertake in order to provide the most efficient and effective care, from admission to discharge (or death) from the service.

It is not intended to be a care pathway or clinical protocol, rather it describes how a true 'diabetes without walls'¹ service should operate going across the current sectors of health care.

The intervention map may describe current service models or it may describe what should ideally be provided by diabetes and kidney care services.

- A diabetes and kidney care contracting framework that brings together all the key standards of quality and policy relating to diabetes and kidney care
- A template service specification for diabetes and kidney care services that forms part of schedule 2 part 1 ,or section 1 (module B) of the Standard NHS Contract covering the key headings required of a specification. It is recommended that the commissioner checks which mandatory headings are required for each type of care as specified by the Standard NHS Contracts.

For further detail on how to approach the commissioning of diabetes services please see http://www.diabetes.nhs.uk/commissioning_resource

¹ Commissioning Diabetes Without Walls , 2011, http://www.diabetes.nhs.uk/commissioning_resource/

Features of Diabetes and Kidney Care Services

High quality diabetes and kidney care services should ensure:

- that people with diabetes have a regular assessment and review of renal function (including management of anaemia and bone conditions) as part of their diabetes assessment and care planning process
- that patients with chronic kidney disease and diabetes who require specialist care are seen in joint nephrology and diabetes services
- there is access to vascular services for vascular access for haemodialysis
- there is access to transplantation services which provide combined kidney and pancreatic transplantation as appropriate
- that there is regular training and development in basic diabetes competences for hospital staff caring for people who have renal conditions and diabetes
- that there is regular training and development for all health care professionals who provide diabetes care on the management of kidney conditions
- that there are monitored protocols for hospital staff on when to access diabetes specialist advice and intervention for people with diabetes who have renal conditions
- that there are monitored protocols in place to ensure that patients can continue to manage their diabetes themselves while in hospital (food and medication)

- that data items included in the National Renal Dataset are reported accurately and completely on all patients on Renal Replacement Therapy

In addition, the service should:

- be developed in a co-ordinated way, taking full account of the responsibilities of other agencies in providing comprehensive care and placing users at the centre of decisions about their care and support - "no decision about me without me" (Equity and Excellence: Liberating the NHS).
- be commissioned jointly by health and social care based on a joint health needs assessment which meets the specific needs of the local population, using a holistic approach as described by the generic model for the management of long term conditionsⁱⁱ
- provide effective and safe care to people with diabetes in a range of settings including the patient's home, in accordance with the NICE Quality Standards for Diabetesⁱⁱⁱ
- deliver the outcomes for diabetes as determined by the NHS Outcomes Framework^{iv}
- take into account the emotional, psychological and mental wellbeing of the patient^v
- take into account all diverse and personal needs with respect to access to care
- ensure that services are responsive and accessible to people with Learning Disabilities^{vi}
- ensure that the family/carers of people with diabetes have access to psychological support

ⁱ Available on the DH website at http://www.dh.gov.uk/en/Publicationsandstatistics/Publications/PublicationsPolicyAndGuidance/DH_117353

ⁱⁱ Available on the DH website at http://www.dh.gov.uk/en/Healthcare/Longtermconditions/DH_120915

ⁱⁱⁱ Quality Standards: Diabetes in adults, <http://www.nice.org.uk/guidance/qualitystandards/qualitystandards.jsp>

^{iv} Available on the DH website at http://www.dh.gov.uk/en/Publicationsandstatistics/Publications/PublicationsPolicyAndGuidance/DH_122944

^v Emotional and Psychological Support and Care in Diabetes, Joint Diabetes UK and NHS Diabetes Emotional and Psychological Support Working Group, 2010 http://www.diabetes.nhs.uk/our_work_areas/emotional_and_psychological/

^{vi} http://www.diabetes.nhs.uk/commissioning_resource

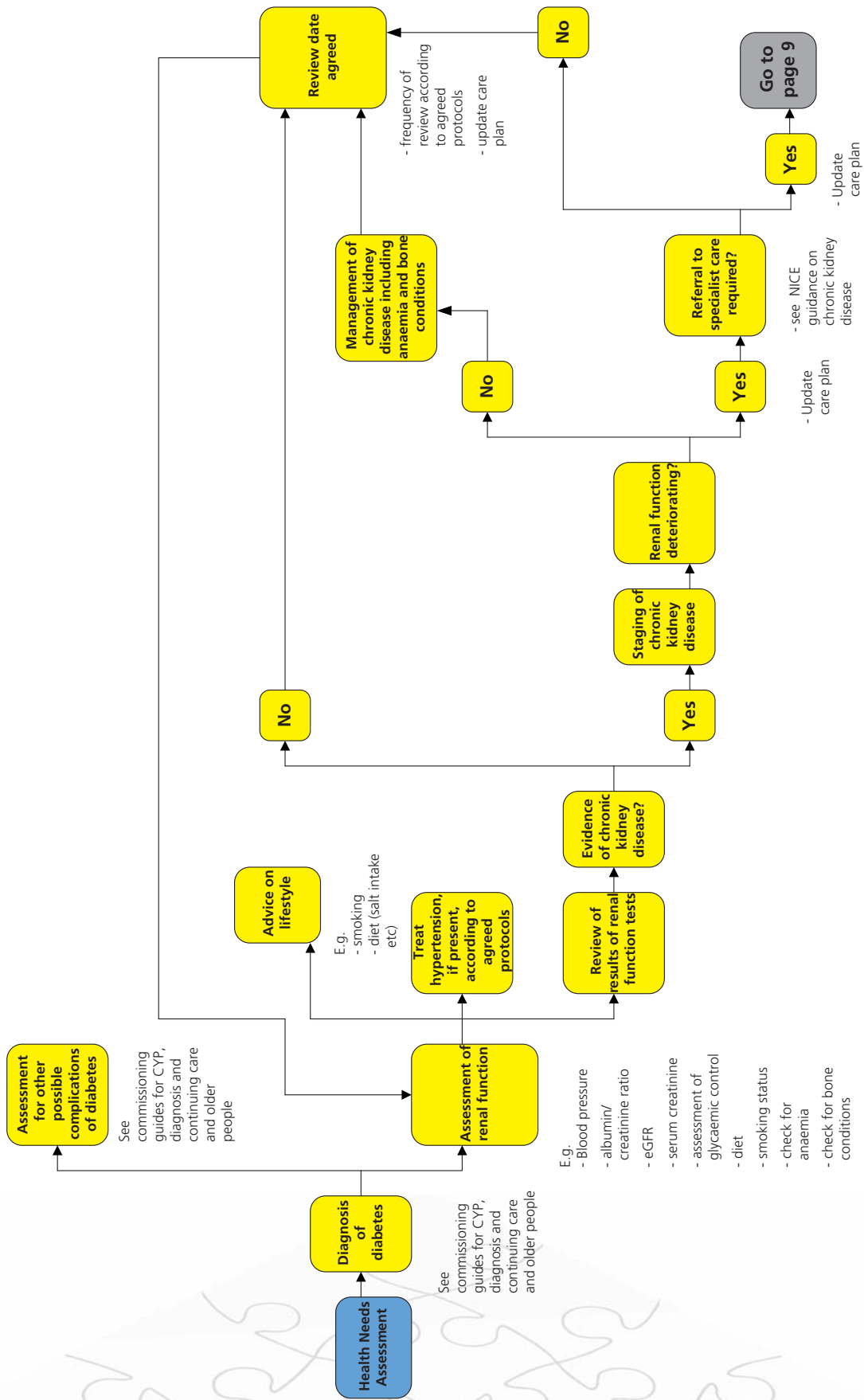
- have effective clinical networks with clear clinical leadership across the boundaries of care which clearly identify the role and responsibilities of each member of the diabetes healthcare team
- ensure that there are a wide range of options available to people with diabetes to support self management and individual preferences
- take into account services provided by social care and the voluntary sector
- provide patient/carer/family education on diabetes not only at diagnosis but also during continuing management at every stage of care
- provide education on diabetes management to other staff and organisations that support people with diabetes
- have a capable and effective workforce that has the appropriate training and updating and where the staff have the skills and competencies in the management of people with diabetes
- provide multidisciplinary care that manages the transition between children and adult services and adult and older peoples' services
- have integrated information systems that record individual needs including emotional, social, educational, economic and biomedical information which permit multidisciplinary care across service boundaries and support care planning^{vii}
- produce information on the outcomes of diabetes care including contributing to national data collections and audits
- have adequate governance arrangements, e.g. local mortality and morbidity meetings on diabetes care to learn from errors and improve patient safety
- take account of patient experience, including Patient Reported Outcomes Measures, in the development and monitoring of service delivery^{viii}
- deliver the separate modules of care according to the best practice quality markers
- actively monitor the uptake of services, responding to non-attenders and monitoring complaints and untoward incidents

^{vii} http://www.diabetes.nhs.uk/year_of_care/it/

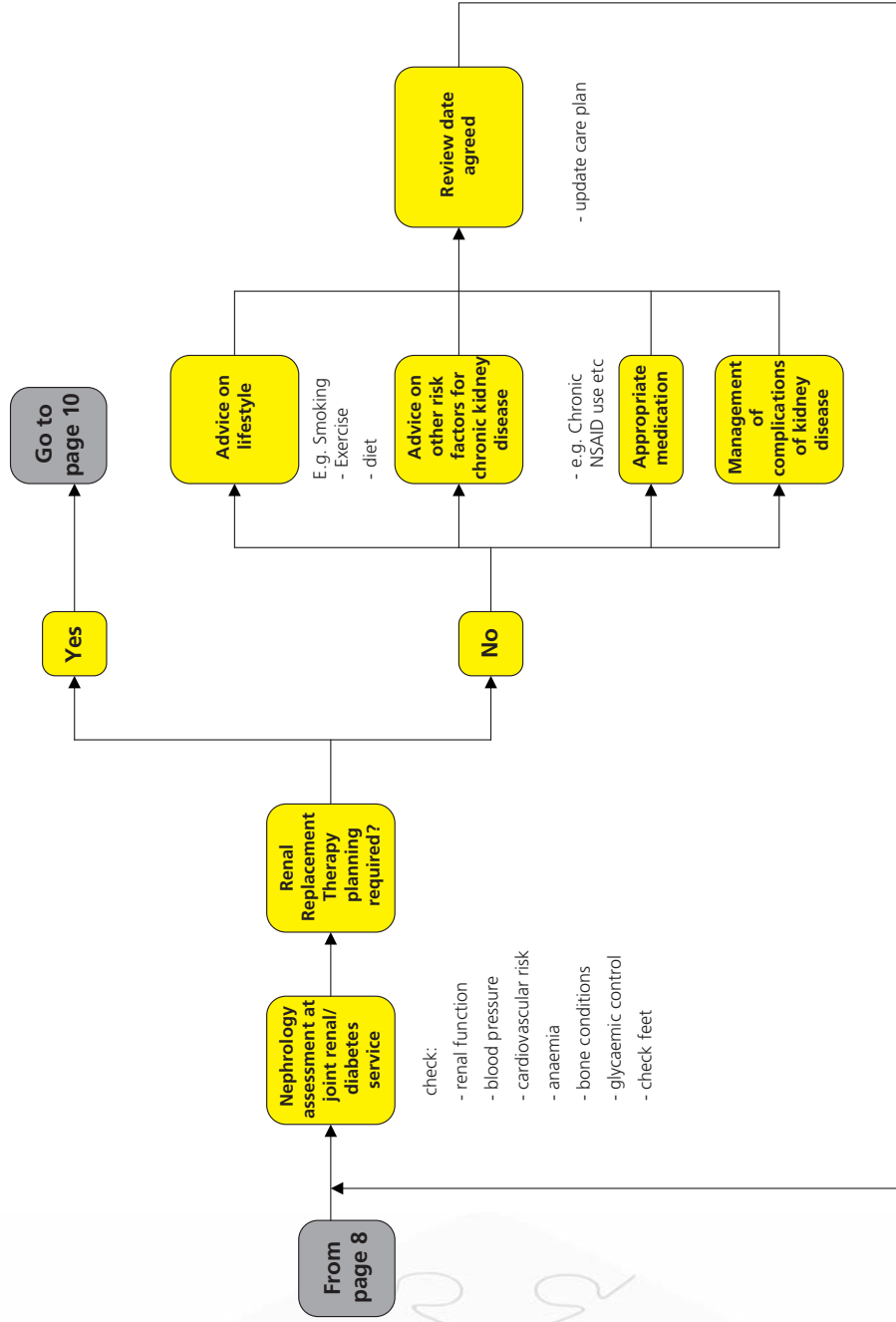
^{viii} <http://www.ic.nhs.uk/proms>

Diabetes and Kidney Care Services Intervention Map

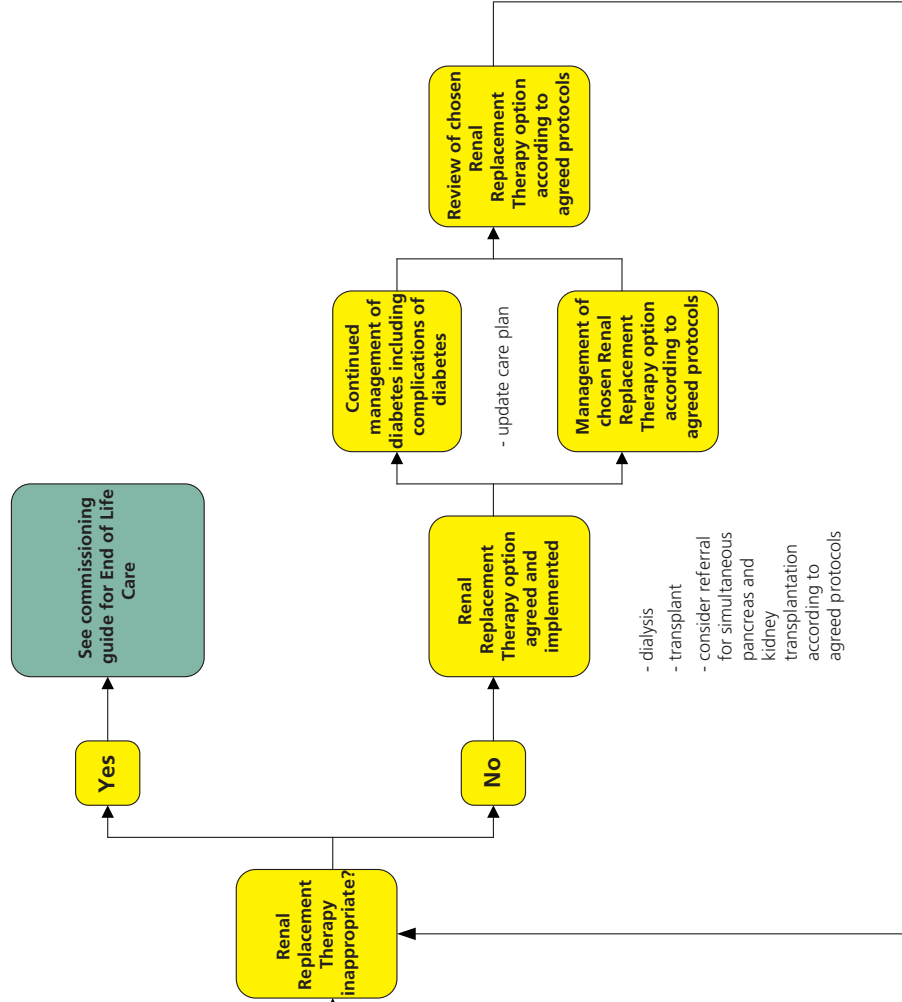
NHS Diabetes
Diabetes and kidney care
Chronic kidney disease risk assessment



NHS Diabetes
Diabetes and kidney care
Specialist kidney care



NHS Diabetes
Diabetes and kidney care
Specialist kidney care



Contracting Framework for Diabetes and Kidney Care Services

Introduction

This contracting framework sets what is required of clinically safe and effective services that are providing care for people with diabetes who need kidney care. The framework is designed to be read in conjunction with the high level patient intervention map, which describes the interventions and actions required along the patient pathway as well as entry and exit points, and the standard service specification template for kidney care services for people with diabetes.

The framework brings together the key quality areas and standards that have been identified by NHS Diabetes, NHS Kidney Care, Diabetes UK, the Royal Colleges and other related organisations.

The principles that establish a safe pathway for patient care

Establishing the principles that underpin the systems and processes of pathways for patient care leads to more efficient patient throughput and can reduce risk of fragmentation of care and serious untoward incidents. The principles operate at four layers within a patient pathway:

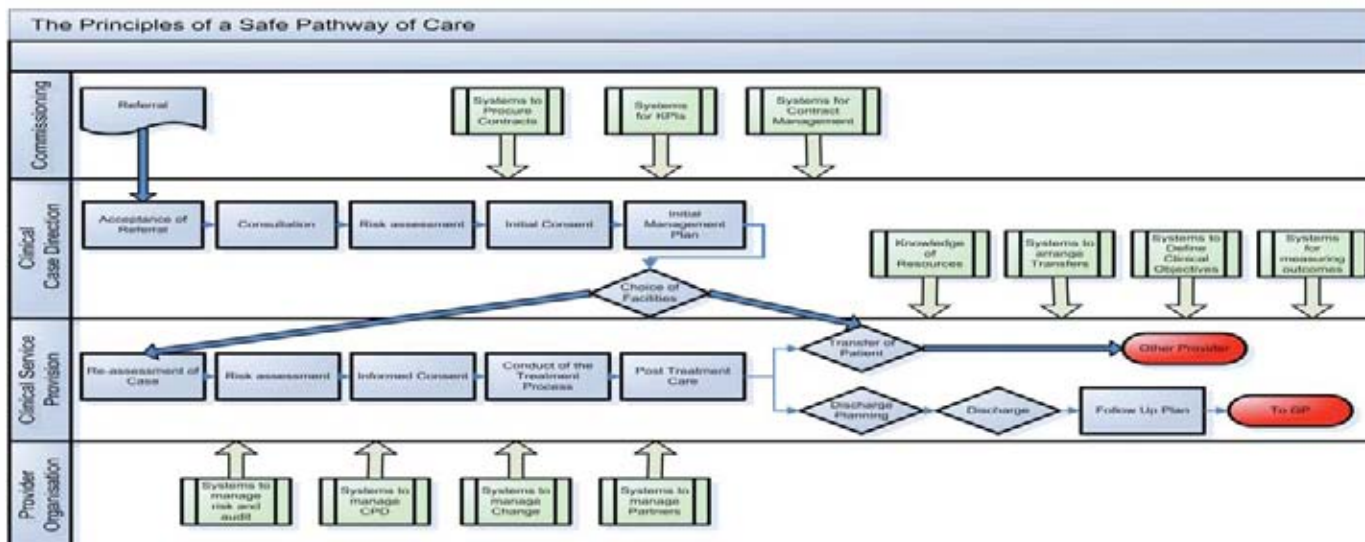
- Commissioning
- Clinical Case Direction or the overall Care Plan (i.e. the management of an individual patient)


- Provision of the clinical service or process
- Organisational platform on which the clinical service or process sits (the provider organisation)

A straightforward or simple pathway is one in which the overall management including both Clinical Case Direction and the delivery of the clinical processes conventionally sits within one organisation. However, with a more complex pathway, there is a danger that fracturing the overall management pathway into components carried out by different clinical teams and organisations will require duplication of effort leading to inefficiency and increased risk at handover points. This can be managed by establishing clear governance arrangements for all the layers in the pathway.

In addition, Commissioning Bodies must balance the benefits of fracturing the pathway against increased complexity and ensure that the increased risks are mitigated.

The governance arrangements required for all three layers and the commissioner responsibilities are shown below:





In essence, at each level, there are governance arrangements to ensure sound and safe systems of delivery of patient care with clear lines of accountability between each level.

Diabetes and Kidney Care Services

The key principles of good diabetes and kidney care services is to provide a high quality service that is reliable in terms of delivery and timely access for patients requiring that care.

Care of people with diabetes who have renal complications is provided by a number of different teams in the primary, community and acute setting. It is essential that there is co-ordination of care of patients through the care planning process and that the nephrologist/diabetes physicians retain joint responsibility for overall patient care across the whole pathway and retain overall responsibility for the management of side effects and further complications.

The initial management and continuing care of individuals with diabetes should include an assessment of their emotional and psychological well-being, together with timely access to appropriate psychological and biological/psychiatric interventions. Mental health disorders can pose significant barriers to diabetes care and therefore mental health stability is vital for good self care¹.

The services themselves will also have clinical oversight and accountability for governance purposes.

This contracting framework focuses on people with diabetes, including children and young people and older people, who require care for the renal complications of diabetes. This contracting framework should also be read in conjunction with the diabetes commissioning guides for children and young people, prevention and risk assessment,

diagnosis and continuing care, older people, End of Life Care and follow the principles for the effective commissioning of services for people with Learning Disabilities².

Ensuring quality

Commissioning Bodies should ensure that the diabetes and kidney care services commissioned are of the highest quality. There may, in addition, be some organisations that wish to offer their services, but do not have a history of providing such care.

- i) For provider organisations already involved in the delivery of diabetes and kidney care services, there should be retrospective evidence of systems being in place, implemented and working.
- ii) For organisations new to the arena, the commissioner should reassure itself that the provider has the organisational attributes, governance arrangements, systems and processes set up to provide the platform for safe and effective delivery of diabetes and kidney care services to be provided.

This framework describes what the Commissioning Body needs to ensure is present or addressed in its discussions with the provider organisation.

Under the 'elements' column there are cross references to the Standard NHS Contract for Acute Services– bilateral (main clauses and schedules)³. (The cross references also apply to the clauses and schedules in the Standard NHS Contract for Community Services). This is to assist commissioners and providers in having an overview of how the elements link to the Standard NHS Contract. Some of the areas are open to interpretation and consequently the references are not exhaustive.

TOPIC	ELEMENTS	CHARACTERISTICS, SKILLS AND BEHAVIOURS	OUTPUTS	DIABETES SERVICES SPECIFIC OUTPUTS/COMMENTS
Governance	<p>Leadership</p> <p><i>Cross references to the Standard NHS Contract for Acute Services</i></p> <p><i>Main clauses: 11, 16, 19, 33, 48, 49, 51, 53, 60</i></p> <p><i>Schedules: 10</i></p>	<p>Clarity of the organisation's purpose with explicit commitment to providing high quality services</p> <p>A culture that demonstrates an open learning ethos</p> <p>An organisation that is legal and ethical in all its activities</p>	<p>Provider must have organisational structure that provides leadership for all professions and disciplines</p> <p>In particular, there must be a corporate clinical director with the responsibility and accountability for the clinical service</p> <p>There must be a learning framework in the organisation</p>	<p>There should be a designated clinical director with responsibility and accountability for diabetes and kidney care services</p>
Governance	<p>Integrated Governance</p> <p><i>Cross references to the Standard NHS Contract for Acute Services</i></p> <p><i>Main clauses: 11, 19, 27, 48, 49, 51, 53, 54, 56, 60</i></p> <p><i>Schedules: 10</i></p>	<p>An organisation that is guided by the principles of good governance:</p> <ul style="list-style-type: none"> - clarity of purpose - participation and engagement - rule of law - transparency - responsiveness - equity and inclusiveness - effectiveness and efficiency - accountability <p>An organisation that accepts responsibility and accountability for all its actions</p>	<p>Clear organisational and integrated governance systems and structures in place with clear lines of accountability and responsibilities for all functions. This includes interfaces and transitions between services</p>	<p>Quality Governance in the NHS. A guide for provider boards⁴</p>
Governance	<p>Clinical Governance</p> <p><i>Cross references to the Standard NHS Contract for Acute Services</i></p> <p><i>Main clauses: 4, 4A, 6, 9, 10, 12, 14, 15, 16, 17, 19, 21, 27, 29, 31, 32, 33, 48, 49, 51, 53, 54</i></p> <p><i>Schedules: 3 (parts 1, 2, 4, 4A, 4B, 4C, 5, 6), 7, 10, 12, 18, 20</i></p>	<p>Explicit commitment to quality and patient safety</p> <p>Patient focused with respect for the personal wishes of patients in all aspects of their care</p> <p>A commitment to innovation and continuous improvement</p>	<p>Clinical Governance systems and policies should be in place and integrated into organisational governance with clear lines of accountability and responsibility for all clinical governance functions</p> <p>e.g.</p> <ul style="list-style-type: none"> • Clinical Audit • Clinical Risk Management • Untoward Incident Reporting • Infection Control • Medicines Management • Informed Consent • Raising Concerns 	<p>All sub-contractors must meet governance and leadership arrangements of the main provider organisation</p> <p>Commissioner, provider and NHS Litigation Authority must review the Clinical Negligence Scheme for Trusts arrangements for other organisational / professional indemnity arrangements</p> <p>The service should have in place written protocols and procedures defining clear lines of accountability and responsibility.</p> <p>The service is required to comply with guidelines, public health guidance and appraisals published by the National Institute for Health and Clinical Excellence that are relevant to the care provided by the service⁵</p>

TOPIC	ELEMENTS	CHARACTERISTICS, SKILLS AND BEHAVIOURS	OUTPUTS	DIABETES SERVICES SPECIFIC OUTPUTS/COMMENTS
Governance	Clinical Governance		<ul style="list-style-type: none"> • Staff Development • Complaints Management • Patient and Public Involvement • Patient dignity and respect • Equality and diversity • Introducing new technologies and treatments • An externally accredited Quality Assurance system and internal error reporting involving all staff groups. <p>CG systems should have clear and demonstrable links to other NHS systems with collaborative CG activities and sharing of experience and learning</p> <p>Provider should produce annual Clinical Governance reports as part of NHS CG reporting system</p> <p>Providers are required to agree Commissioning for Quality and Innovation schemes (CQUIN) for diabetes care, e.g. model CQUIN scheme proposed by the NHS Institute for Innovation and Improvement¹³</p>	<p>In addition, the service is required to comply with the following:</p> <ul style="list-style-type: none"> i. Guidance published by NICE • Early identification and management of chronic kidney disease in adults in primary and secondary care⁶ • Anaemia management in people with chronic kidney disease⁷ • The clinical effectiveness and cost effectiveness of patient education models for diabetes⁸ • Medicines adherence: involving patients in decisions about prescribed medicines and supporting adherence⁹ <p>The service is also required to comply with:</p> <ul style="list-style-type: none"> • clinical guidelines for Type 2 Diabetes Mellitus produced by the European Diabetes Working Party for Older People¹⁰ • Renal Specific Management of Medicines¹¹ • Guidelines for LCP Drug Prescribing in Advanced Chronic Kidney Disease¹²
Clinical quality	<p>Quality assurance</p> <p><i>Cross references to the Standard NHS Contract for Acute Services</i></p> <p><i>Main clauses:</i> 4,4A, 12, 16, 17, 18, 19, 20, 21, 31, 32, 33, 54</p> <p><i>Schedules:</i> 2, 3 (parts 4, 4A, 4B, 4C, 5, 6), 7, 10, 12, 18, 20</p>	<p>Understanding the concept of clinical quality</p> <p>Has concern for quality while working efficiently</p> <p>An understanding of the use of audit, patient and staff feedback to improve quality</p> <p>An organisation that provides clarity of objectives and promotes reflective practice to improve quality of patient care</p>	<p>Quality assurance systems must be in place and approved by commissioning body with regular reporting of outcomes</p> <p>Providers are required to publish quality accounts for the public reporting of quality including safety, experience and outcomes</p> <p>Providers should participate in national audit programmes</p>	<p>Diabetes and Kidney Care services must comply with the performance measures required of NHS services, i.e meeting:¹⁴</p> <ul style="list-style-type: none"> • Referral to Treatment waits (95th percentile measures) • A&E Quality Indicators • Ambulance response times <p>The services are required to participate in the following activities/programmes:</p> <ul style="list-style-type: none"> • National Diabetes Audit¹⁵ • National Diabetes Inpatient Audit of Acute Trusts¹⁶ (NB <i>Providers may wish to conduct additional audits in the areas identified in this document</i>) • National Kidney Care Audit¹⁷ • Patient Experience Surveys¹⁸ • Diabetes E¹⁹ • Patient Reported Outcomes Measures²⁰

TOPIC	ELEMENTS	CHARACTERISTICS, SKILLS AND BEHAVIOURS	OUTPUTS	DIABETES SERVICES SPECIFIC OUTPUTS/COMMENTS
Clinical quality	<p>Workforce/ staff</p> <p>Clinical staff attributes critical to safety and quality of interventions</p> <p><i>Gross references to the Standard NHS Contract for Acute Services</i></p> <p><i>Main clauses: 11, 16,26,33, 48 ,56</i></p>	<p>The provider organisation has systems and procedures in place to assure the commissioner that their clinical team has the necessary qualifications, skills, knowledge and experience to deliver the service</p>	<p>Staff are competent and fit for purpose</p> <p>Provider to satisfy commissioner that all staff have current appraisal, clearances and registration checks and have demonstrated competence in all procedures relevant to pathway.</p>	<p>Provider to satisfy commissioner that they can recruit (or procure) and retain a competent clinical team to deliver the service</p> <p>Specific qualifications required of health professionals providing the service are:</p> <ul style="list-style-type: none"> • For medical practitioners: <ul style="list-style-type: none"> o Diabetes: registration with the GMC and evidence of further qualification in diabetes care or experience within diabetes clinic o Nephrology: registration with the GMC and evidence of further qualification in renal medicine • Nurses: <ul style="list-style-type: none"> o Diabetes : registration with the NMC, further evidence of qualification in diabetes care or experience within diabetes clinic and an interest in nephropathy ²¹ o Nephrology: registration with the NMC and further evidence of qualification in renal medicine or experience within nephrology clinic • Dietitians ²¹: <ul style="list-style-type: none"> o Diabetes: registration with the HPC and further evidence of qualification in diabetes care or experience within diabetes clinic o Nephrology: registration with the HPC and further evidence of qualification in dietetics and renal medicine or experience within nephrology clinic • Podiatrists: <ul style="list-style-type: none"> o Diabetes: registration with the HPC and further evidence of qualification in diabetes care or experience within diabetes clinic <p>Healthcare professionals involved in delivering care for people with diabetes who have renal complications are required to have the relevant competencies in the management of²²:</p> <ul style="list-style-type: none"> • diabetes • Chronic Kidney Disease

TOPIC	ELEMENTS	CHARACTERISTICS, SKILLS AND BEHAVIOURS	OUTPUTS	DIABETES SERVICES SPECIFIC OUTPUTS/COMMENTS
Clinical quality	<p>Workforce/ staff</p> <p>Clinical staff competencies in use of equipment</p> <p><i>Cross references to the Standard NHS Contract for Acute Services</i></p> <p><i>Main clauses:</i> 11, 16, 17, 21, 26, 33</p>	<p>The provider organisation has systems in place to assure the commissioner that their clinical team are competent to use all equipment needed to deliver the service</p>	<p>Provider to satisfy the commissioner that all staff have had documented competence assessment relative to all equipment used in contract.</p>	<p>All healthcare professionals involved in delivering care for people with diabetes who have cardiovascular complications are required to have the relevant competencies in using appropriate equipment e.g. blood glucose and ketone monitors, insulin delivery devices including insulin pumps etc</p>
Clinical quality	<p>Workforce / staff</p> <p>Development</p> <p><i>Cross references to the Standard NHS Contract for Acute Services</i></p> <p><i>Main clauses:</i> 11, 16, 19, 30, 48</p>	<p>The provider organisation has systems in place to assure the commissioner that their clinical team is formally inducted and receives ongoing assistance to develop their skills, knowledge and experience to ensure that they are always fully updated</p>	<p>Provider to satisfy commissioner of their commitment to induction and CPD relevant to roles</p> <p>Provider to satisfy the commissioner of their commitment to train staff to meet future service needs</p>	<p>All Health Care professionals should have sufficient study leave allocation (time and finance) to enable them to develop skills appropriately</p>
Clinical quality	<p>Registration and licensing</p> <p><i>Cross references to the Standard NHS Contract for Acute Services</i></p> <p><i>Main clauses:</i> 4, 4A, 5, 9, 10, 11, 12, 14, 15, 16 17, 18, 19, 21, 26, 27, 29, 33, 34, 35, 36, 43, 48, 49, 52 53, 54, 56, 60</p> <p><i>Schedule:</i> 2, 3, 4, 5, 6, 8, 10, 12, 13, 15, 17, 19, 20</p>	<p>The Provider is required to be registered with the Care Quality Commission to demonstrate that is meets the essential standards of quality and safety for the regulated activities delivered.</p> <p>The Provider is required to be licensed with the NHS Economic Regulator (Monitor) in order to provide NHS care.</p>	<p>Compliance with the Care Quality Commission and Monitor requirements</p>	<p>Compliance with the following National Service Frameworks, where applicable:</p> <ul style="list-style-type: none"> • Renal NSF ²³ • Older People's NSF ²⁴ • NSF for Children, Young People and Maternity Services ²⁵ • The Mental Health Strategy²⁶ • Long Term Conditions NSF ²⁷ <p>Compliance with Care Quality Commission Reviews</p>

TOPIC	ELEMENTS	CHARACTERISTICS, SKILLS AND BEHAVIOURS	OUTPUTS	DIABETES SERVICES SPECIFIC OUTPUTS/COMMENTS
Clinical quality	<p>Outcomes</p> <p><i>Cross references to the Standard NHS Contract for Acute Services</i></p> <p><i>Main clauses:</i> 4,4A, 10, 14, 15, 16,21</p> <p><i>Schedule:</i> 3 (part 5), 5 (parts 1,2,3), 12</p>	<p>Comprehensive understanding and commitment to delivering and improving outcomes of care</p>	<p>Compliance with the NHS Outcomes Framework²⁸</p>	<p>Compliance with the Quality Standards for Diabetes, specifically²⁹</p>
Clinical quality	<p>Patient pathway</p> <p><i>Cross references to the Standard NHS Contract for Acute Services</i></p> <p><i>Main clauses:</i> 4,4A,9,10,12,14,15,16,17, 18, 19,20,21,27,29, 32,33, 34,35,36, 54</p> <p><i>Schedules:</i> 3 (parts 1 and 2)</p>	<p>Responsiveness and participative approach to including patients' views about their care in the design of care pathways</p> <p>Collaboration with other organisations involved in the patient pathway to provide a seamless pathway of care</p>	<p>All possible entry and exit points must be defined with comprehensive patient pathways that facilitate smooth passage and effective, efficient care for patients</p> <p>All interfaces in the pathway must be defined so that continuity of clinical care is ensured with no fracturing of the pathway</p> <p>There must be specification of clear timelines and alert mechanisms for potential breaches</p> <p>There should be audit of pathway to ensure that standards are met</p> <p>There must be explicit specification of provider and commissioner responsibilities for the whole patient episode from registration to final discharge</p> <p>Accountabilities should be agreed and documented by all stakeholders</p> <p>There are a number of services supporting patients with diabetes and there must be clear sub contracts stating the referral criteria and access to these supporting services.</p>	<p>The pathway should follow the principles set out by the Generic Long Term Conditions model³⁰. This includes:</p> <ul style="list-style-type: none"> • Stratifying the levels of need and risk • Case management • Personalised care planning • Supporting people to self care • Assistive technology <p>The key elements of diabetes and kidney care services should include</p> <ul style="list-style-type: none"> • Chronic kidney disease risk assessment and initial management • The early identification and management of cardiovascular complications of diabetes³¹ (see also the Commissioning Guide for cardiovascular services for people with diabetes)² • Specialist kidney care <p>1. Chronic kidney disease risk assessment and initial management</p> <ul style="list-style-type: none"> • There should be agreed protocols for assessing the risk of: <ul style="list-style-type: none"> o diabetes o the effects of smoking o chronic kidney disease o hypertension o (e.g. NHS Health Checks for 40 -74 year olds³²). • There should be agreed protocols for: <ul style="list-style-type: none"> o the management of chronic kidney disease o management of anaemia o management of bone conditions

TOPIC	ELEMENTS	CHARACTERISTICS, SKILLS AND BEHAVIOURS	OUTPUTS	DIABETES SERVICES SPECIFIC OUTPUTS/COMMENTS
Clinical quality	Patient pathway		<p>At entry to pathway: The Commissioner should assure themselves that the provider has systems and processes in place to</p> <ol style="list-style-type: none"> i) register patients ii) collect relevant clinical and administrative data iii) manage the appointment process, (reappointment and DNA process, if appropriate) iv) provide information to patients v) undertake initial assessment in the appropriate location <p>At point of intervention: The Commissioner should assure themselves that the provider has systems and processes in place to ensure that:</p> <ol style="list-style-type: none"> i) the intervention is conducted safely and in accordance with accepted quality standards and good clinical practice. ii) the patient receives appropriate care during the intervention(s), including on treatment review and support, in accordance with best clinical practice iii) where clinical emergencies or complications do occur they are managed in accordance with best clinical practice iv) the intervention is carried out in a facility which provides a safe environment of care and minimises risk to patients, staff and visitors v) the intervention is undertaken by staff with the necessary qualifications, skills, experience and competence vi) There are arrangements for the management of out of hours care according to best clinical practice 	<p>o management of hypertension o when to refer for specialist renal/diabetes care</p> <ul style="list-style-type: none"> • Every patient with chronic kidney disease should have a kidney care plan³³ <p>2. The early identification and management of cardiovascular complications of diabetes</p> <ul style="list-style-type: none"> • there should be protocols in place to identify and manage the cardiovascular complications of diabetes as cardiac co-morbidity has a significant impact on renal complications³¹ <p>3. Specialist kidney care</p> <ul style="list-style-type: none"> • people with diabetes who need specialist kidney care should be seen by joint nephrology and diabetes services • there should be protocols in place to screen, prevent and manage other complications of diabetes, e.g. foot care, cardiovascular care and eyes • the management of a person with diabetes who is admitted for management of their renal condition should follow the principles set out in the emergency and inpatient commissioning guide, i.e.² <ul style="list-style-type: none"> o have access to the multidisciplinary specialist diabetes team o have admission and discharge care plans o have close liaison with their care co-ordinator o there should be protocols in place to allow patients, who are able to do so, to self manage their diabetes medication. <p>Patients may need to be referred to the following services as part of their kidney care:</p> <ul style="list-style-type: none"> • Vascular services (for vascular access) • Dialysis^{34,35} • Transplantation services – including access to combined kidney and pancreatic transplantation services, as appropriate • Renal Welfare Officer³⁶ • End of Life Care³⁷ (see also Commissioning Guide for Diabetes and End of Life Care²)

TOPIC	ELEMENTS	CHARACTERISTICS, SKILLS AND BEHAVIOURS	OUTPUTS	DIABETES SERVICES SPECIFIC OUTPUTS/COMMENTS
Clinical quality	Patient pathway		<p>At exit from pathway: The Commissioner should assure themselves that provider has systems and processes, which are agreed with all parties and networks, in place to:</p> <ul style="list-style-type: none"> i) undertake telephone triage ii) make urgent onward referrals where life-threatening conditions or serious unexpected pathologies are discovered during an intervention/assessment iii) ensure that patients receive discharge information relevant to their intervention including arrangements for contacting the provider and follow up if required iv) provide timely feedback to the referrer re intervention, complications and proposed follow up v) ensure that the patient receives required drugs/dressings/aids vi) ensure that support is in place with other care agencies as appropriate 	<p>Providers should ensure access to transport facilities to enable attendance for specialist treatment, as required</p> <p>Providers are required to take note of the results of the National Survey of People with Diabetes ³⁸</p>
Clinical quality	<p>Clinical emergency situations</p> <p><i>Cross references to the Standard NHS Contract for Acute Services</i></p> <p><i>Main clauses:</i> 6, 11, 12, 13, 14, 15, 18, 32, 33, 42, 54</p> <p><i>Schedules:</i> 2, 3 (part 1 and 3), 12</p>	Ability to negotiate and agree arrangements with appropriate personnel and organisations to provide effectively for emergency situations	The Commissioners should satisfy themselves that provider has systems, processes and competent personnel are in place and implemented to ensure that all clinical emergencies and complications are handled in accordance with best practice	There should be protocols in place to ensure the availability of advice and /or support of specialist diabetes clinical staff to manage diabetes clinical emergency situations, e.g. during a surgical procedure or other clinical intervention for the management of the renal condition

TOPIC	ELEMENTS	CHARACTERISTICS, SKILLS AND BEHAVIOURS	OUTPUTS	DIABETES SERVICES SPECIFIC OUTPUTS/COMMENTS
Clinical quality	<p>Estates and equipment</p> <p><i>Cross references to the Standard NHS Contract for Acute Services</i></p> <p><i>Main clauses: 5, 29, 33, 56</i></p> <p><i>Schedules: 3, 10, 19</i></p>	<p>Understanding of building regulations</p> <p>Access to advice on “fit-for-purpose” equipment and facilities</p>	<p>Commissioners must assure themselves that patient care is delivered in appropriately built and equipped facilities which meet relevant HTMs and Building Notes, and, where appropriate, are registered and are safe and clear.</p> <p>Equipment must be fit for purpose</p> <p>Commitment to efficient use and satisfactory maintenance of equipment</p>	
Clinical quality	<p>Knowledge and understanding of health and safety</p> <p><i>Cross references to the Standard NHS Contract for Acute Services</i></p> <p><i>Main clauses: 5, 11, 19, 54, 56, 60</i></p>	<p>Understanding of clinical accountabilities of health and safety policies</p>	<p>H&S strategy and policies in place and implemented with awareness throughout the organisation</p> <p>Accessibility to executive responsible for H&S for quicker, first contact services</p>	<p>Health and safety policies as per provider agreement with commissioners</p>
Data and information management	<p>Strategy and policies</p> <p><i>Cross references to the Standard NHS Contract for Acute Services</i></p> <p><i>Main clauses: 8, 9, 17, 19, 21, 23, 24, 27, 29, 32, 33, 54</i></p> <p><i>Schedules: 5, 7, 15, 16, 18</i></p>	<p>Strategy and policy development skills</p> <p>The ability to analyse data and have access to information that can predict trends and that could identify problems</p> <p>The ability to capture evidence based practice from R&D National Service Frameworks, NICE</p> <p>The ability to use data and information appropriately to improve patient care</p> <p>Transparency and objectivity</p>	<p>The Provider should have an explicit data and information strategy in place that covers</p> <ul style="list-style-type: none"> • Types of data • Quality of data • Data protection and confidentiality • Accessibility • Transparency • Analysis of data and information • Use of data and information • Dissemination of data and information • Risks • Sharing of data and compatibility of IT across different providers with respect to care of patients across a pathway <p>This information should be included in the Data Quality Improvement Plan</p>	<p>The Provider is required to have information systems that record individual needs including emotional, social, educational, economic and biomedical information which permit multidisciplinary care across service boundaries and support care planning³⁹</p> <p>The Provider is required to use the following for the collection and production of data, where appropriate:</p> <ul style="list-style-type: none"> • NHS Outcomes Framework²⁸ • National Diabetes Information Service⁴⁰ • National Diabetes Audit¹⁵ • National Kidney Care Audit¹⁷ • Renal Services Information Strategy: Supporting part one of the National Service Framework for Renal Services⁴¹ • Renal services information strategy: Supporting part two of the National Service Framework for Renal Services⁴² • Diabetes E¹⁹

TOPIC	ELEMENTS	CHARACTERISTICS, SKILLS AND BEHAVIOURS	OUTPUTS	DIABETES SERVICES SPECIFIC OUTPUTS/COMMENTS
Data and information management	Strategy and policies		<p>There should be policies in place that include:</p> <ul style="list-style-type: none"> • Confidentiality Code of Practice • Data Protection • Freedom of Information • Health Records • Information Governance Management • Information Quality Assurance • Information Security <p>There must be a named individual who is the Caldicott Guardian</p>	<ul style="list-style-type: none"> • Quality and Outcomes Framework⁴³ • Hospital Episode Statistics⁴⁴ • UK Renal Registry⁴⁵ • Patient Experience^{18,38} • Patient Satisfaction³⁸ • Patient Reported Outcomes Measures²⁰ • National Diabetes Continuing Care Dataset⁴⁶ • National Renal Dataset⁴⁷

Source documents

Commissioners and providers should take responsibility for making references to the latest version of the various documents and guidance.

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2. The NHS Diabetes Commissioning Guides are available on the NHS Diabetes website at http://www.diabetes.nhs.uk/commissioning_resource/
3. Department of Health, Standard NHS Contracts http://www.dh.gov.uk/en/Publicationsandstatistics/Publications/PublicationsPolicyAndGuidance/DH_124324
4. National Quality Board, Quality Governance in the NHS, 2011 http://www.dh.gov.uk/prod_consum_dh/groups/dh_digitalassets/documents/digitalasset/dh_125239.pdf
5. NICE Diabetes guidance, <http://guidance.nice.org.uk/Topic/EndocrineNutritionalMetabolic/Diabetes>
6. NICE, Early identification and management of chronic kidney disease in adults in primary and secondary care, 2008, <http://guidance.nice.org.uk/CG73>
7. NICE, Anaemia management in people with chronic kidney disease (CKD), 2011, <http://guidance.nice.org.uk/CG114>
8. NICE, The clinical effectiveness and cost effectiveness of patient education models for diabetes, April 2003, www.nice.org.uk/Guidance/TA60
9. NICE, Medicines adherence: involving patients in decisions about prescribed medicines and supporting adherence, Jan 2009, <http://guidance.nice.org.uk/CG76>
10. European Diabetes Working Party for Older People. Clinical Guidelines for Type 2 Diabetes Mellitus, www.instituteofdiabetes.org
11. Department of Health, Renal Specific Management of Medicines, 2004, http://www.dh.gov.uk/en/Publicationsandstatistics/Publications/PublicationsPolicyAndGuidance/DH_4082061
12. Department of Health and Marie Curie Palliative Care Institute, Guidelines for LCP Drug Prescribing in Advanced Chronic Kidney Disease, 2008, http://www.dh.gov.uk/prod_consum_dh/groups/dh_digitalassets/documents/digitalasset/dh_085997.pdf
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14. Department of Health, The Operating Framework for the NHS in England 2011/12, 2010, http://www.dh.gov.uk/en/Publicationsandstatistics/Publications/PublicationsPolicyAndGuidance/DH_122738
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18. The King's Fund, The point of care. Measures of patients' experience in hospital: purpose, methods and uses. July 2009
19. DiabetesE - <https://www.diabetese.net/>
20. Patient Reported Outcomes Measures, <http://www.ic.nhs.uk/proms>
21. Commissioning Specialist Diabetes Services for Adults with Diabetes - A Diabetes UK Task and Finish Group Report Oct 2010, <http://www.diabetes.org.uk/Professionals/Publications-reports-and-resources/Reports-statistics-and->

- case-studies/Reports/Commissioning-Specialist-Diabetes-Services-for-Adults-with-Diabetes---Defining-A-Specialist-Diabetes-UK-Task-and-Finish-Group-Report-October-2010/
22. Skills for Health, Diabetes Competency Framework and Renal Competences, <https://tools.skillsforhealth.org.uk/>
 23. Department of Health, The National Service Framework for Renal Services, January 2004 http://www.dh.gov.uk/en/Healthcare/Renal/DH_4102636
 24. Department of Health, National Service Framework for Older People, May 2001, http://www.dh.gov.uk/en/Publicationsandstatistics/Publications/PublicationsPolicyAndGuidance/DH_4003066
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 26. Department of Health, No health without mental health: a cross-government mental health outcomes strategy for people of all ages, February 2011, http://www.dh.gov.uk/en/Publicationsandstatistics/Publications/PublicationsPolicyAndGuidance/DH_123766
 27. Department of Health, The National Service Framework for Long Term Conditions, March 2005 http://www.dh.gov.uk/en/Publicationsandstatistics/Publications/PublicationsPolicyAndGuidance/DH_4105361
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 32. Putting Prevention First, NHS Health Check, Vascular risk assessment and management , Best practice guidance, 2009, www.dh.gov.uk/en/Publicationsandstatistics/Publications/PublicationsPolicyAndGuidance/DH_097489
 33. NHS Kidney Care, My Kidney Care Plan http://www.kidneycare.nhs.uk/_Resourcestodownload-MyKidneyCarePlan.aspx
 34. NHS Kidney Care, Specification for the Commissioning of Peritoneal Dialysis Pathway , 2009 http://www.kidneycare.nhs.uk/_Resourcestodownload-Specifications.aspx
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<http://www.nice.org.uk/aboutnice/qof/qof.jsp>
44. Hospital Episode Statistics,
www.ic.nhs.uk/statistics-and-data-collections/hospital-care/hospital-activity-hospital-episode-statistics--hes
45. UK Renal Registry,
<http://www.renalreg.com/About-Us/about.html>
46. National Diabetes Continuing Care Dataset,
www.ic.nhs.uk/webfiles/Services/Datasets/Diabetes/dccrdataset.pdf
47. National Renal Dataset,
<http://www.ic.nhs.uk/services/datasets/document->

Standard Service Specification Template for Diabetes and Kidney Care

This specification forms Schedule 2, Part 1 or section 1 (module B), 'The Services - Service Specifications' of the Standard NHS Contracts^a

Service specifications are developed in partnership between commissioners and provider agencies and are based on agreed evidence-based care and treatment models. Diabetes and Renal Networks have an important role to play in developing the specifications. Specifications should be open to scrutiny and available to all service users/carers as a statement of standards that the user/carer can expect to receive.

The following documentation, developed by the Diabetes Commissioning Advisory Group in conjunction with NHS Kidney Care provides further detail/guidance to support the development of this specification:

- The intervention map for diabetes and kidney care services
- The contracting framework for diabetes and kidney care services

This specification template assumes that the services are compliant with the contracting framework for diabetes and kidney care services.

This template also provides examples of what commissioners may wish to consider when developing their own service specifications.

Description of diabetes and kidney care services:

Diabetes and kidney care services includes an assessment of risk of chronic kidney disease as part of the initial and continuing management of people with diabetes, management of renal function and associated conditions such as hypertension and metabolic bone disorders, management of all the stages of renal failure with specialist input with access to transplantation and dialysis services.

The final specification should take into account:

- **national, network and local guidance and standards for diabetes and kidney care services.**
- **local needs.**
- **cross references to the Specification for the Commissioning of Peritoneal Dialysis Pathway^b and the autonomous kidney services toolkit^c**

This specification is supported by other related work in diabetes commissioning such as:

- the web-based Diabetes Community Health Profiles (Yorkshire and Humber Public Health Observatory)
- the web-based Health Needs Assessment Tool (National Diabetes Information Service).

These provide comprehensive information for needs assessment, planning and monitoring of diabetes services

^a Standard NHS Contracts http://www.dh.gov.uk/en/Publicationsandstatistics/Publications/PublicationsPolicyAndGuidance/DH_124324

^b NHS Kidney Care, Specification for the Commissioning of Peritoneal Dialysis Pathway , 2009 http://www.kidneycare.nhs.uk/i/assets/Commissioning_of_PD_Pathway_Nov09_FINAL.pdf

^c NHS Kidney Care, Achieving Autonomy for Kidney Services Seven Steps Toolkit http://www.kidneycare.nhs.uk/i/assets/Achieving_Autonomy_for_Kidney_Services_FINAL.pdf

Introduction

- A general overview of the services identifying why the services are needed, including background to the services and why they are being developed or in place.
- A statement on how the services relate to each other within the whole system should be included describing the key stakeholders/relationships which influence the services, e.g. multi-disciplinary diabetes team and renal team, etc
- Any relevant diabetes and renal clinical networks and screening/risk assessment programmes applicable to the services, e.g. NHS Health Check
- Details of all interdependencies or sub-contractors for any part of the service and an outline of the purpose of the contract should be stated, including arrangements for clinical accountability and responsibility, as appropriate

Purpose, Role and Clientele

1. A clear statement on the primary purpose of the services and details of what will be provided and for whom:
 - Who the services are for (e.g. children, young people, adults and older people with diabetes who require kidney care for the renal complications of diabetes)
 - What the services aim to achieve within a given timeframe
 - The objectives of the services
 - The desired outcomes and how these are monitored and measured

Scope of the Services

2. What does the service do? This section will focus on the types of high level therapeutic interventions that are required for the types of need the services will respond to.
 - How the services responds to age, culture, disability, and gender sensitive issues
 - Assessment – details of what it is and co-morbidity assessment and referrals to all relevant specialties

- Service planning – High level view of what the services are and how they are used; how patients enter the pathway/journey; what are the stages undertaken and continuing management up to end of life care. The aims of service planning are to:
 - o Develop, manage and review interventions along the patient journey
 - o Ensure access to other specialities /care, as appropriate
 - o Ensure that care planning is undertaken by the diabetes multi-disciplinary team (as defined locally) with a clear care co-ordination function
 - o Ensure that transition from childrens' to adults' services or adults' to older peoples' services is negotiated and explicitly planned around the assessed needs of each individual person
- Holistic review of patients in the management of their diabetes using the principles of an integrated care model for people with long term conditions that is patient-centred, including self care and self management, clinical treatment, facilitating independence, psychological support and other social care issues
- Risk assessment procedures
- Detail of evidence base of the service – i.e. the contracting framework for diabetes and kidney care, guidance produced by Royal College of Physicians, Diabetes UK etc.

Service Delivery

3. Patient Journey/intervention map
Flow diagram of the patient pathway showing access and exit/transfer points – see the patient intervention map for diabetes and kidney care services as a starting point
4. Treatment protocols/interventions
Include all individual treatment protocols in place within the services or planned to be used
5. This will include a breakdown of how the patient will receive the services and from whom. It should be a clear statement of staff qualifications/experience and/or training (if

appropriate) and clinical or managerial supervision arrangements. It should specify, as appropriate:

- Geographical coverage/boundaries – i.e. the services should be available for children and young people, adult and older people who live in the clinical commissioning group area
- Hours of operation including, week-end, bank holiday and on-call arrangements
- Minimum level of experience and qualifications of staff (i.e. doctors – diabetologists, nephrologists and GPs, Nursing staff – diabetes nurse specialists, renal care nurses etc, other allied health professionals, e.g. dietitians, pharmacists etc and other support and administrative staff)
- Confirmation of the arrangements to identify the Care Co-ordinator for each patient with diabetes (i.e. who holds the responsibility and role).
- Staff induction and developmental training

6. Equipment

- Upgrade and maintenance of relevant equipment and facilities
- *Technical specifications (if any)*

Identification, Referral and Acceptance criteria

7. This should make clear how patients will be identified, assessed, and accepted to the services. Acceptance should be based on types of need and/or patient.

8. How should patients be referred?

- Who is acceptable for referral and from where
- Details of evaluation process - Are there clear exclusion criteria or set alternatives to the service? How might a patient be transferred?
- Response time detail and how are patients prioritised

Discharge/Service Complete/Patient Transfer/Transition criteria

9. The intention of this section is to make clear when a patient should be transferred from one aspect of the diabetes service to another is and when this would be reached.

- How is a treatment pathway reviewed?
- How does the service decide that a patient is ready for discharge
- How are goals and outcomes assessed and reviewed?
- What procedure is followed on discharge, including arrangements for follow-up

Quality Standards

10. The service is required to deliver care according to the standards for clinical practice set by the National Institute for Health and Clinical Excellence^{d,e}

11. As a minimum, the Provider is required to agree a local Commissioning for Quality and Innovation scheme for services for people with diabetes. (*Insert details of the CQUIN Scheme agreed*)

12. The service is required to deliver the outcomes for diabetes as determined by the NHS Outcomes Framework^f

Activity and Performance Management

13. This must include performance indicators, thresholds, methods of measurement and consequences of breach of contract. These will be set and agreed prior to the signing of the overall agreement.

14. Activity plans – Where appropriate, identify the anticipated level of activity the service may deliver; provide details of any activity measures and their description /method of collection, targets, thresholds and consequences of variances above or below target.

^d <http://www.nice.org.uk/guidance/qualitystandards/qualitystandards.jsp>

^e <http://www.nice.org.uk/guidance/qualitystandards/chronickidneydisease/ckdqualitystandard.jsp>

^f http://www.dh.gov.uk/en/Publicationsandstatistics/Publications/PublicationsPolicyAndGuidance/DH_122944



Continual Service Improvement

15. As part of the monitoring and evaluation procedures, the service will identify a method of agreeing measurements for continuous improvement of the service being offered and work to ensure unmet need is both identified and brought to the attention of the commissioner.

16. Review

This section should set out a review date and a mechanism for review.

The review should include both the specifications for continuing fitness for purpose and the providers' delivery against the specification.

This should set out the process by which this review will be conducted.

This should also identify how compliance against the specification will be monitored in year.

17. Agreed by

This should set out who agrees/accepts the specification on behalf of all parties.

This should include the diabetes and kidney care providers, commissioner and network.

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